

ABOUT YOUR ADVISER

Samuel Wallis-Smith | AR No.242718

Wallis-Smith Financial Services Pty Ltd T/A Wallis-Smith Financial Planning | CAR No.306322

Address 1/85 Hutt St, Adelaide SA 5000

Telephone 08 8232 9498

Email sam@wallissmith.com.au

Website wallissmith.com.au/

Authorisations

I am an authorised representative of Alliance Wealth Pty Ltd. I am authorised in the following financial services and products:

- Superannuation
- Pensions & Annuities
- Retirement Savings Accounts
- Cash & Term Deposits
- Managed Investments
- Exchange Traded Products
- Investment Bonds
- Government Debentures
- Life Insurance
- Total & Permanent Disability Insurance
- Trauma Insurance
- Income Protection Insurance
- Centrelink / Veterans' Affairs Assistance
- Business Insurance
- Insurance Claims Assistance
- Budgeting and Cashflow Management
- Debt Management

Remuneration

I am remunerated by:

- Salary plus profit share
- Salary

The following tables summarise the types of fees or commissions and indicative amounts that are applicable to the services that we provide. Before providing you with advice or services, I will agree with you the fees that apply. All amounts are inclusive of Goods and Services Tax (GST).

Remuneration	Up To
SoA Preparation Fee	\$10,000
Implementation Fee	\$4,750
Hourly Rate	\$475

Remuneration	Initial	Per Annum
Adviser Service Fee		\$2,750 to \$10,000
Insurance Commission*	0% to 66%^	0% to 33%

* Based on a % of funds invested or insurance premiums

^ Applicable from 1 January 2020 to new policies. If the policy was issued before 1 January 2020, commission of up to 130% will apply to additional cover.

Benefits, Interests and Associations

The Business, associated entities or I have arrangements with the following parties.

Related Parties	Nutshell Money - Nutshell Money is a subsidiary of Wallis-Smith Financial Services Pty Ltd, which provides a money coaching service.
	Victoria Wallis-Smith (Sam Wallis-Smith's wife) is the business owner and operator of Nutshell Money.
	Sam Wallis-Smith is a Director and beneficiary of Wallis-Smith Financial Services Pty Ltd. As a result, Sam Wallis-Smith may receive distributions and/or income from Nutshell Money.

Where arrangements include payments or benefits these will be disclosed to you in writing at the time of providing advice.